

NHS Improvement Provider Licence Declarations (Licence conditions G6 and FT4)

At its meeting on the 27 May 2017 the Board made the following declarations in relation to the Licence Conditions G6 and FT4.

Condition	Statement	Declaration
G6(3)	Following a review for the purpose of paragraph 2(b) of licence condition G6, the Directors of the Licensee are satisfied, as the case may be that, in the Financial Year most recently ended, the Licensee took all such precautions as were necessary in order to comply with the conditions of the licence, any requirements imposed on it under the NHS Acts and have had regard to the NHS Constitution, and the Licensee continues to meet the criteria for holding a licence.	Confirmed compliant 2016/17
CoS(7)	After making enquiries the Directors of the Licensee have a reasonable expectation that the Licensee will have the Required Resources available to it after taking account of distributions which might reasonably be expected to be declared or paid for during the period of 12 months commencing from the date of declaration.	Confirmed
FT4(8)	The Board is satisfied that the Trust applies those principles, systems and standards of good corporate governance which reasonably would be regarded as appropriate for a supplier of health care services to the NHS.	Confirmed 2016/17 Potential future risk - the Trust recognises that there are areas of weakness in its governance structures and escalation processes which it is addressing through a well-led review to be concluded in 2017/18.
FT4(8)	The Board has regard to such guidance on good corporate governance as may be issued by NHS Improvement from time to time	Confirmed 2016/17 Potential future risk - the Trust recognises that there are areas of weakness in its governance structures and escalation processes which it is addressing through a well-led review to be concluded in 2017/18.

Condition	Statement	Declaration
FT4(8)	<p>The Board is satisfied that the Trust implements:</p> <ul style="list-style-type: none"> a) Effective board and committee structures b) Clear responsibilities for its Board, for committees reporting to the Board and for staff reporting to the Board and those committees c) Clear reporting lines and accountabilities throughout its organisation 	<p>Confirmed 2016/17</p> <p>Potential future risk - the Trust recognises that there are areas of weakness in its governance structures and escalation processes which it is addressing through a well-led review to be concluded in 2017/18.</p>
FT4(8)	<p>The Board is satisfied that the Trust effectively implements systems and/or processes:</p> <ul style="list-style-type: none"> a) To ensure compliance with the Licensee's duty to operate efficiently, economically and effectively b) For timely and effective scrutiny and oversight by the Board of the Licensee's operations c) To ensure compliance with health care standards binding on the Licensee including but not restricted to standards specified by the Secretary of State, the Care Quality Commission, the NHS Commissioning Board and statutory regulators of health care professions d) For effective financial decision-making, management and control (including but not restricted to appropriate systems and/or processes to ensure the Licensee's ability to continue as a going concern) e) To obtain and disseminate accurate, comprehensive, timely and up to date information for Board and Committee decision-making f) To identify and manage (including but not restricted to manage through forward plans) material risks to compliance with the Conditions of its Licence g) To generate and monitor delivery of business plans (including any changes to such plans) and to receive internal and where appropriate external assurance on such plans and their delivery h) To ensure compliance with all applicable legal requirements. 	<p>Confirmed 2016/17</p> <p>Potential future risk - the Trust recognises that there are areas of weakness in its governance structures and escalation processes which it is addressing through a well-led review to be concluded in 2017/18.</p>

Condition	Statement	Declaration
FT4(8)	<p>The Board is satisfied that the systems and/or processes referred to in paragraph 4 (above) should include but not be restricted to systems and/or processes to ensure:</p> <ul style="list-style-type: none"> a) That there is sufficient capability at Board level to provide effective organisational leadership on the quality of care provided b) That the Board's planning and decision-making processes take timely and appropriate account of quality of care considerations; c) The collection of accurate, comprehensive, timely and up to date information on quality of care d) That the Board receives and takes into account accurate, comprehensive, timely and up to date information on quality of care e) That the Trust, including its Board, actively engages on quality of care with patients, staff and other relevant stakeholders and takes into account as appropriate views and information from these sources f) That there is clear accountability for quality of care throughout the Trust including but not restricted to systems and/or processes for escalating and resolving quality issues including escalating them to the Board where appropriate. 	<p>Confirmed 2016/17</p> <p>Potential future risk - the Trust recognises that there are areas of weakness in its governance structures and escalation processes which it is addressing through a well-led review to be concluded in 2017/18.</p>

Condition	Statement	Declaration
FT4(8)	The Board is satisfied that there are systems to ensure that the Trust has in place personnel on the Board, reporting to the Board and within the rest of the organisation who are sufficient in number and appropriately qualified to ensure compliance with the conditions of its NHS provider licence.	<p>Compliant 2016/17</p> <p>Potential future risk - recruitment Shortages across nursing and Junior Doctors in some specialities</p> <p>The Board is to be assured that there is a Recruitment and Retention Strategy to increase our pool of candidates through social media, targeted recruitment and increasing our profile. We are also considering the use of incentives and other reward packages to attract and retain staff, workforce re-design projects to develop new roles at associate and advanced practitioner levels.</p> <p>2016 Junior doctors' contract implemented from February.</p> <p>Local recruitment for Trust doctors taking place as national recruitment failed to fill all training places. Action plans to mitigate short and long term recruitment to psychiatry are being progressed.</p>
Governor training	The Board is satisfied that during the financial year most recently ended the Trust has provided the necessary training to its Governors, as required in s151(5) of the Health and Social Care Act, to ensure they are equipped with the skills and knowledge they need to undertake their role.	<p>Compliant 2016/17</p> <p>Further work - the Trust recognises that there is a need to put in place a structured training programme. Work was commenced in 2016/17 to look at this and this is expected to be concluded in 2017/18.</p>